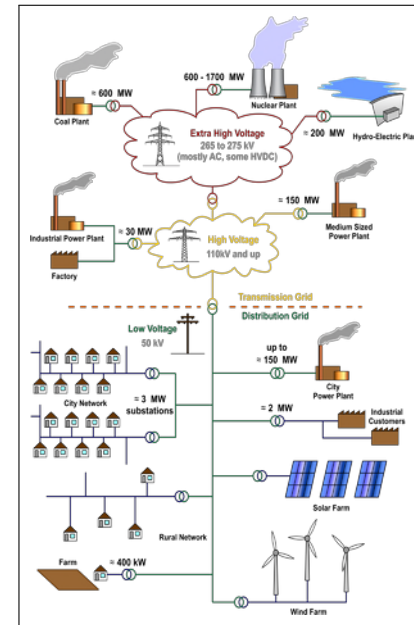


Plug-and-Play Control and Optimization in Microgrids

Florian Dörfler
ETH Zürich

LCCC Dynamics and Control in Networks Workshop

Paradigm shifts in the operation of power networks



Traditional **top to bottom** operation:

- ▶ generate/transmit/distribute power
- ▶ hierarchical control & operation

Smart & green **power to the people**:

- ▶ high renewable penetration
- ▶ distributed generation & deregulation
- ▶ demand response & load control



Microgrids

Structure

- ▶ low-voltage distribution networks
- ▶ grid-connected or islanded
- ▶ autonomously managed

Applications

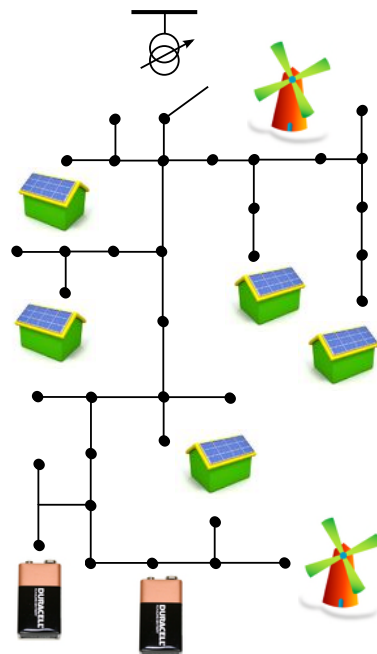
- ▶ hospitals, military, campuses, large vehicles, & isolated communities

Benefits

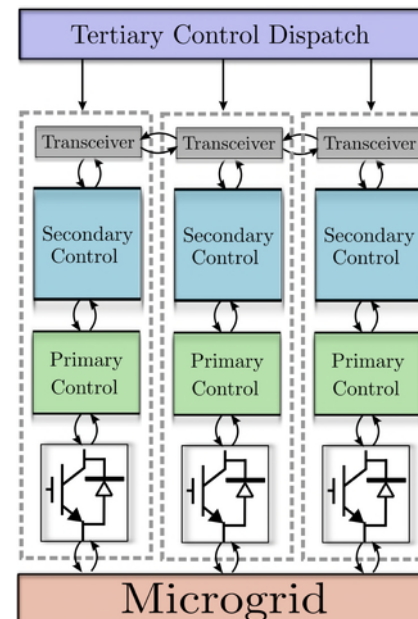
- ▶ naturally distributed for renewables
- ▶ flexible, efficient, & reliable

Operational challenges

- ▶ volatile dynamics & low inertia
- ▶ plug'n'play & no central authority



Conventional control architecture from bulk power ntwns

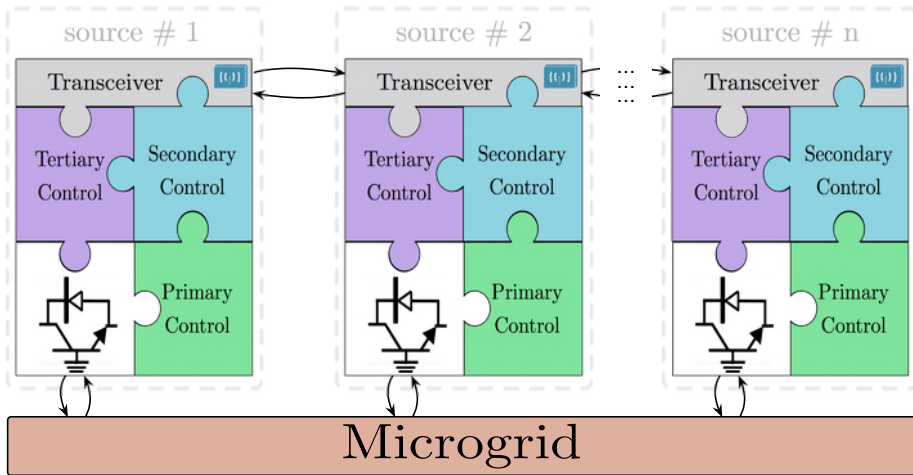


3. **Tertiary control** (offline)
 - Goal: optimize operation
 - Strategy: centralized & forecast
2. **Secondary control** (slower)
 - Goal: maintain operating point
 - Strategy: centralized
1. **Primary control** (fast)
 - Goal: stabilization & load sharing
 - Strategy: decentralized

Microgrids: distributed, model-free, online & without time-scale separation
⇒ **break** vertical & horizontal **hierarchy**

A preview – plug-and-play control and optimization

flat hierarchy, distributed, no time-scale separations, & model-free ...



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Outline

Introduction

Primary Control

Tertiary Control

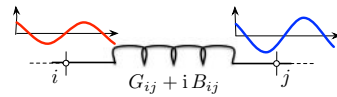
Secondary Control

P-n-P Experiments

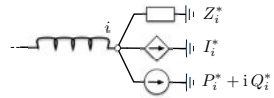
Conclusions

Modeling: a microgrid is a circuit

1 synchronous (& acyclic) **AC circuit** with harmonic waveforms $E_j e^{i(\theta_j + \omega^* t)}$



2 **ZIP loads**: constant impedance, current, & power $P_i^* + iQ_i^*$ (today)



3 **coupling** via Kirchhoff & Ohm

$$\text{injection} = \sum \text{power flows}$$

5 purely inductive lines $G/B \approx 0$ (can be relaxed to $G/B = \text{const.}$)

6 decoupling: $P_i \approx P_i(\theta)$ & $Q_i \approx Q_i(E)$ (near operating point)

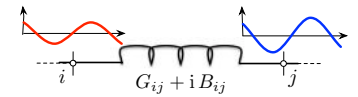
▶ active power: $P_i = \sum_j B_{ij} E_i E_j \sin(\theta_i - \theta_j) + G_{ij} E_i E_j \cos(\theta_i - \theta_j)$

▶ reactive power: $Q_i = -\sum_j B_{ij} E_i E_j \cos(\theta_i - \theta_j) + G_{ij} E_i E_j \sin(\theta_i - \theta_j)$

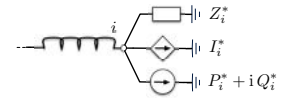
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Modeling: a microgrid is a circuit

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6 decoupling: $P_i \approx P_i(\theta)$ & $Q_i \approx Q_i(E)$ (near operating point)

▶ trigonometric active power flow: $P_i(\theta) = \sum_j B_{ij} \sin(\theta_i - \theta_j)$

▶ polynomial reactive power flow: $Q_i(E) = -\sum_j B_{ij} E_i E_j$ (not today)

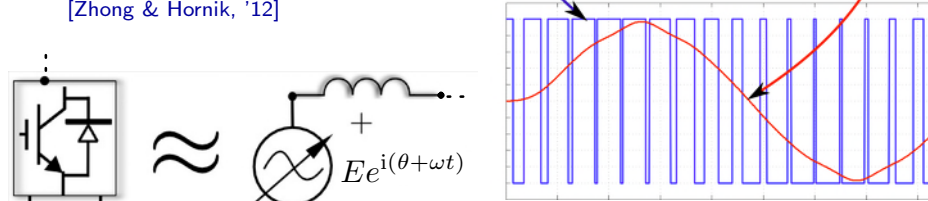
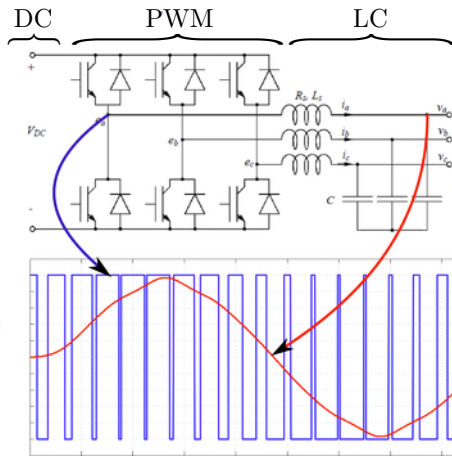
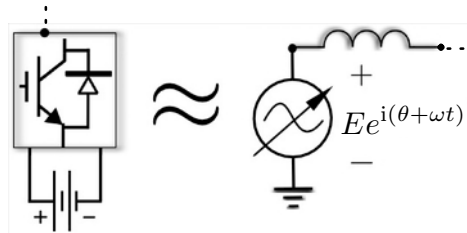
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Modeling: sources interfaced with inverters

(all results also apply to synchronous machines & frequency-dependent loads)

Power **inverters** are . . .

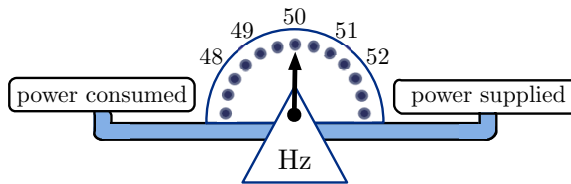
- **interfaces** between
 - ◇ the AC microgrid and
 - ◇ DC & variable AC sources
- **controllable** (voltage) sources
[Zhong & Hornik, '12]



primary control

Decentralized primary control of active power

Inverters are controlled to emulate the physics of synchronous generators.
[Chandorkar et. al. '93]



Intuition: Recall...

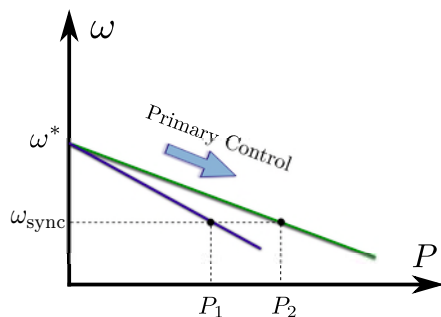
$$P_i(\theta) = \sum_{j=1}^n B_{ij} \sin(\theta_i - \theta_j)$$

$P/\dot{\theta}$ droop control:

$$(\omega_i - \omega^*) \propto (P_i^* - P_i(\theta))$$

$$\Updownarrow$$

$$D_i \dot{\theta}_i = P_i^* - P_i(\theta)$$



Putting the pieces together...

differential-algebraic closed loop

network physics

load power balance: $P_i^* = \sum_j B_{ij} \sin(\theta_i - \theta_j)$

source injections: $P_i(\theta) = \sum_j B_{ij} \sin(\theta_i - \theta_j)$

droop control

$$\dot{\theta}_i = \frac{1}{D_i} (P_i^* - P_i(\theta))$$

loads: $0 = P_i^* - \sum_j B_{ij} \sin(\theta_i - \theta_j)$

sources: $D_i \dot{\theta}_i = P_i^* - \sum_j B_{ij} \sin(\theta_i - \theta_j)$

Closed-loop stability under droop control

Theorem: stability of droop control [J. Simpson-Porco, FD, & F. Bullo, '12]

\exists unique & exp. stable frequency sync \iff active power flow is feasible

Main **proof ideas** and some **further results**:

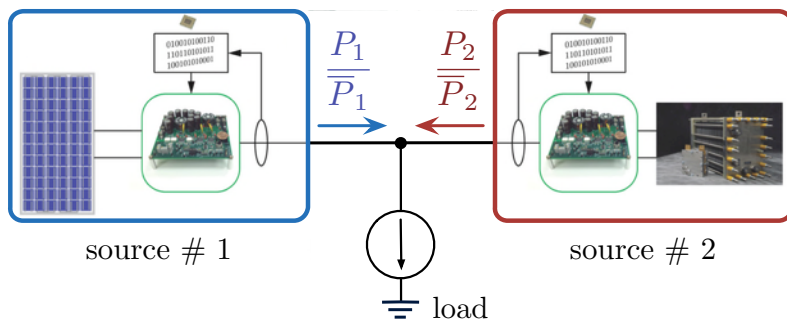
- synchronization frequency: $\omega_{\text{sync}} = \omega^* + \frac{\sum_{\text{inverters}} P_i^* + \sum_{\text{loads}} P_i^*}{\sum_{\text{inverters}} D_i}$
(\propto power balance)
- steady-state power injections: $\mathcal{P}_i = \begin{cases} P_i^* & \text{for loads} \\ P_i^* - D_i(\omega_{\text{sync}} - \omega^*) & \text{for inverters} \end{cases}$
(depend on D_i & P_i^*)
- unique steady-state branch flows: $\xi_{ij} = B_{ij} \sin(\theta_i^* - \theta_j^*) \Rightarrow B_{ij} \geq \xi_{ij}$
($\mathcal{P}_i \mapsto \xi_{ij}$)

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tertiary control

Objective I: decentralized proportional load sharing

- 1) Inverters have **injection constraints**: $P_i(\theta) \in [0, \bar{P}_i]$
- 2) Load must be **serviceable**: $0 \leq \left| \sum_{\text{loads}} P_j^* \right| \leq \sum_{\text{inverters}} \bar{P}_j$
- 3) **Fairness**: load should be shared proportionally: $P_i(\theta)/\bar{P}_i = P_j(\theta)/\bar{P}_j$



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Theorem: fair proportional load sharing [J. Simpson-Porco, FD, & F. Bullo, '12]

Let the droop coefficients be selected **proportionally**:

$$D_i/\bar{P}_i = D_j/\bar{P}_j \quad \& \quad P_i^*/\bar{P}_i = P_j^*/\bar{P}_j$$

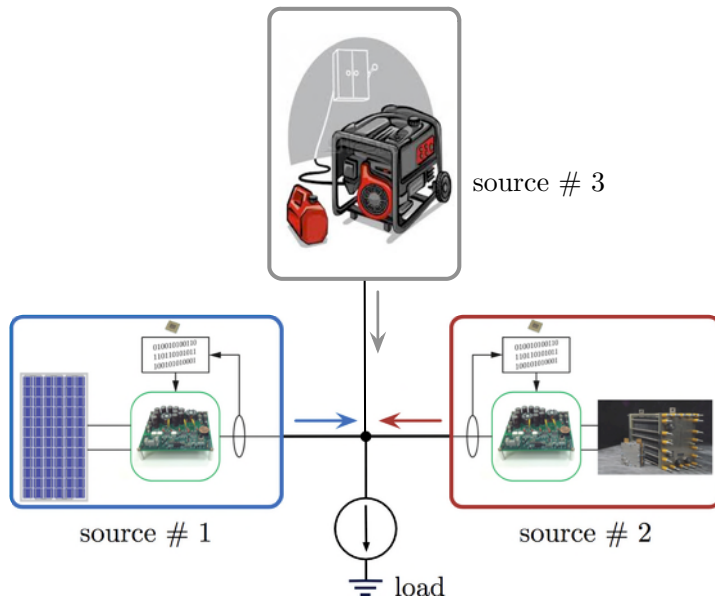
The the following statements hold:

- (i) Proportional load sharing: $P_i(\theta)/\bar{P}_i = P_j(\theta)/\bar{P}_j$
- (ii) Constraints met: $0 \leq \left| \sum_{\text{loads}} P_j^* \right| \leq \sum_{\text{inverters}} \bar{P}_j \iff P_i(\theta) \in [0, \bar{P}_i]$

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Objective I: fair proportional load sharing

proportional load sharing is not always the right objective



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Objective II: optimal economic dispatch

minimize the total accumulated generation

$$\begin{aligned} & \text{minimize}_{\theta \in \mathbb{T}^n, u \in \mathbb{R}^n} && f(u) = \sum_{\text{inverters}} \alpha_i u_i^2 \\ & \text{subject to} && \\ & \text{inverter power balance:} && P_i^* + u_i = P_i(\theta) \\ & \text{load power balance:} && P_i^* = P_i(\theta) \\ & \text{branch flow constraints:} && |\theta_i - \theta_j| \leq \gamma_{ij} < \pi/2 \\ & \text{inverter injection constraints:} && P_i(\theta) \in [0, \bar{P}_i] \end{aligned}$$

Problem is generally non-convex and feasible only if the load is serviceable

In conventional power system operation, the economic dispatch is

- solved **offline**, in a **centralized** way, & with a **model & load forecast**

In an autonomously managed microgrid, the economic dispatch should be

- solved **online**, in a **decentralized** way, & **without knowing a model**

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Objective II: decentralized dispatch optimization

Insight: droop-controlled microgrid = decentralized primal algorithm

Theorem: optimal droop

[FD, J. Simpson-Porco, & F. Bullo, '14]

The following statements are equivalent:

- the economic dispatch with cost coefficients α_i is **strictly** feasible with global minimizer (θ^*, u^*) .
- \exists droop coefficients D_i such that the microgrid possesses a unique & locally exp. stable sync'd solution θ satisfying $P_i(\theta) \in [0, \bar{P}_i]$.

If (i) & (ii) are true, then $\theta_i \sim \theta_i^*$, $u_i^* = -D_i(\omega_{\text{sync}} - \omega^*)$, & $D_i \alpha_i = D_j \alpha_j$.

- similar results hold for the general **constrained** case

- similar results in transmission ntwks with DC flow [E. Mallada & S. Low, '13] & [N. Li, L. Chen, C. Zhao, & S. Low '13] & [X. Zhang & A. Papachristodoulou, '13] & [M. Andreasson, D. V. Dimarogonas, K. H. Johansson, & H. Sandberg, '13] & ...

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secondary control

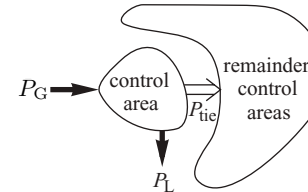
Secondary frequency control in power networks

Problem: steady-state frequency deviation ($\omega_{\text{sync}} \neq \omega^*$)

Solution: integral control [Chandorkar et al. '93, Lopes et al. '05, Bevrani '09, ...]

Interconnected Systems

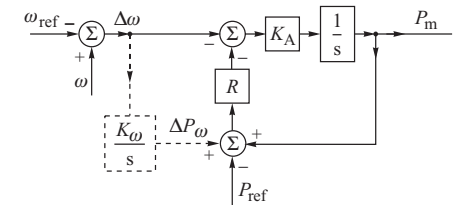
- **Centralized** automatic generation control (AGC)



compatible with econ. dispatch
[N. Li, L. Chen, C. Zhao, & S. Low '13]

Isolated Systems

- **Decentralized** PI control



is *globally* stabilizing
[C. Zhao, E. Mallada, & FD, '14]

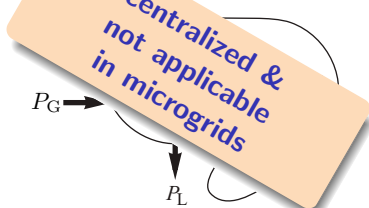
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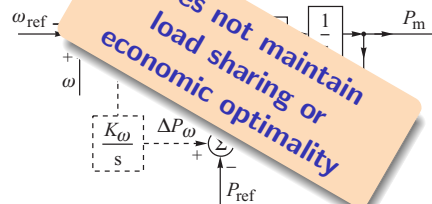
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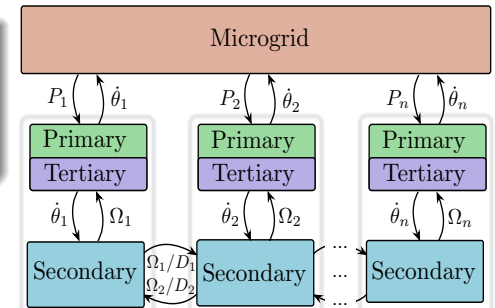
Microgrids require **distributed (!)** secondary control strategies.

Distributed Averaging PI (DAPI) control

$$D_i \dot{\theta}_i = P_i^* - P_i(\theta) - \Omega_i$$

$$k_i \dot{\Omega}_i = D_i \dot{\theta}_i - \sum_{j \in \text{inverters}} a_{ij} \cdot \left(\frac{\Omega_i}{D_i} - \frac{\Omega_j}{D_j} \right)$$

- no tuning & no time-scale separation: $k_i, D_i > 0$
 - distributed & modular: connected comm. \subseteq inverters
 - recovers primary op. cond. (load sharing & opt. dispatch)
- \Rightarrow plug'n'play implementation



Theorem: stability of DAPI

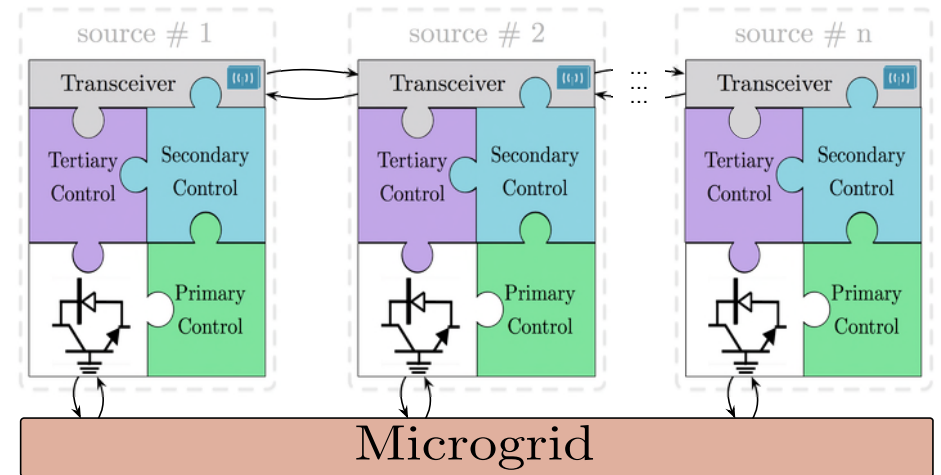
[J. Simpson-Porco, FD, & F. Bullo, '12]

primary droop controller works \iff secondary DAPI controller works

plug-and-play experiments

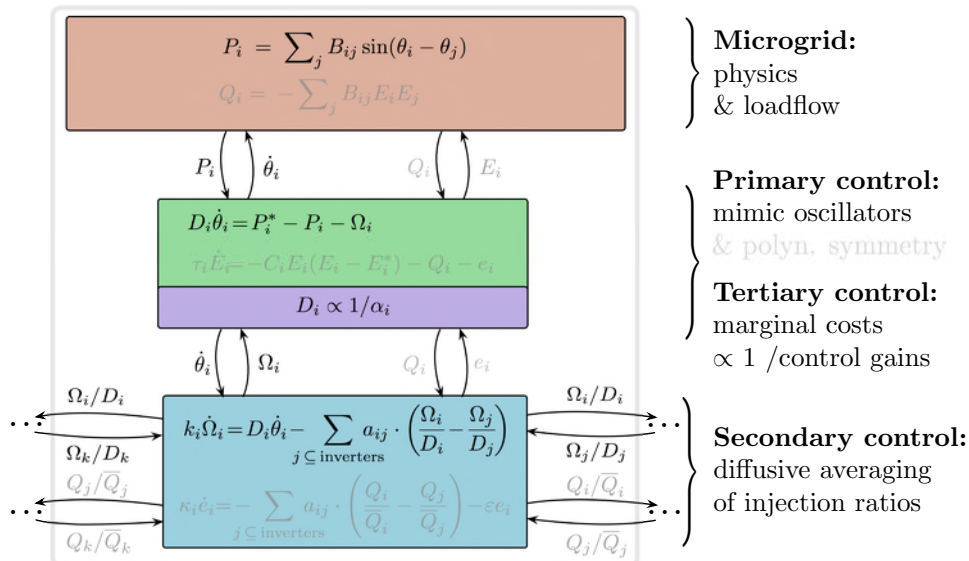
Plug'n'play architecture

flat hierarchy, distributed, no time-scale separations, & model-free



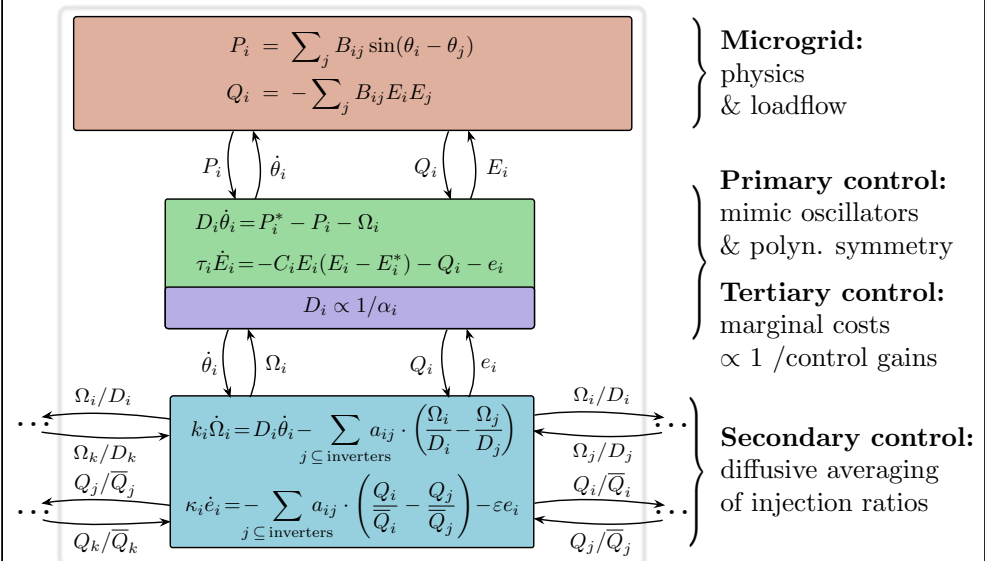
Plug'n'play architecture

recap of detailed signal flow (active power only)



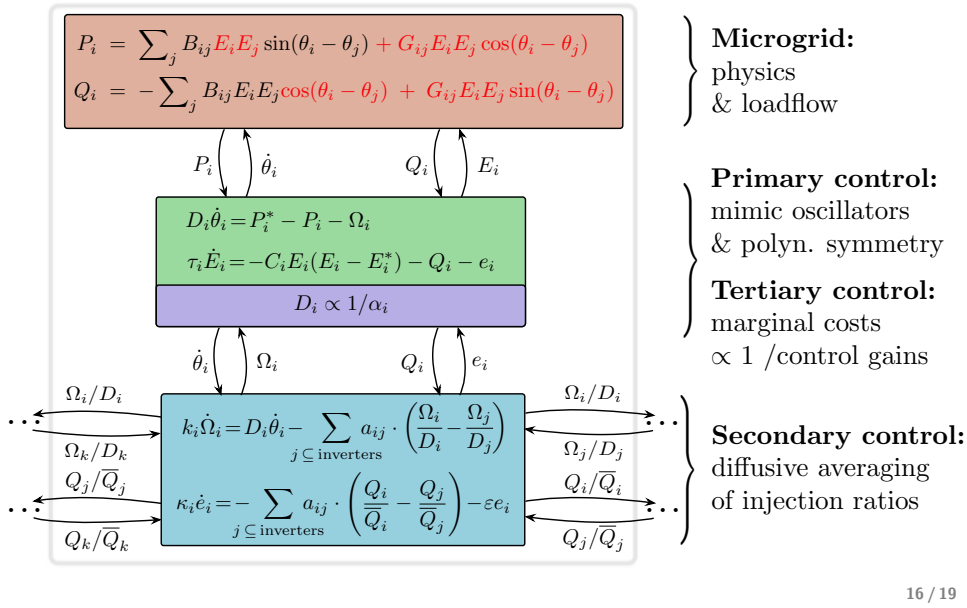
Plug'n'play architecture

similar results in the reactive case



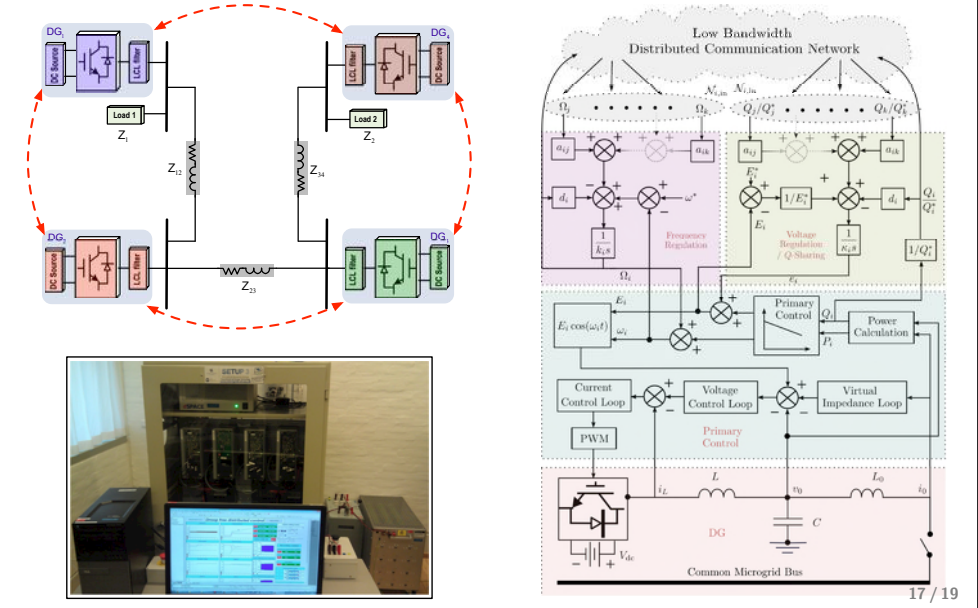
Plug'n'play architecture

experiments also work well in the coupled & lossy case



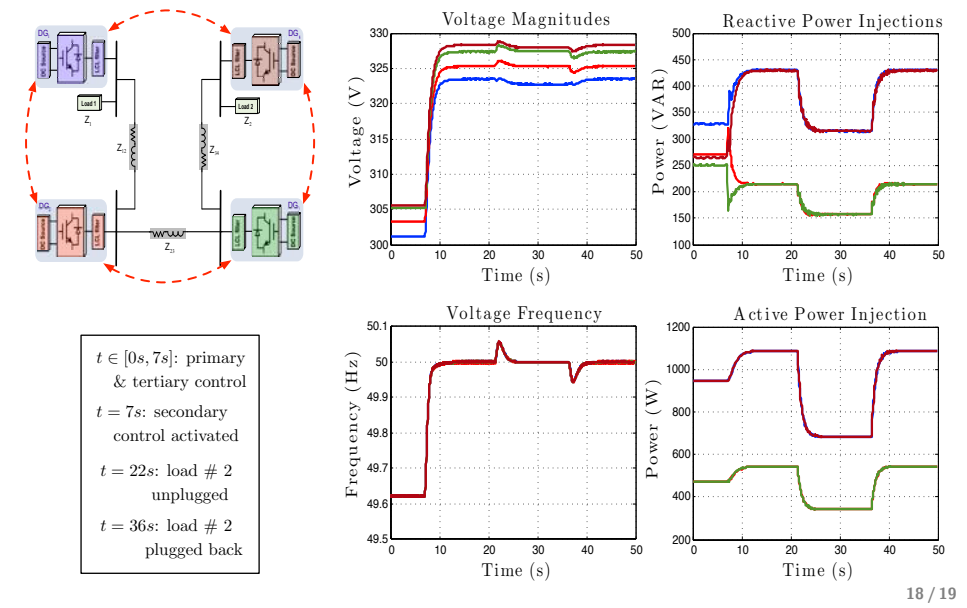
Experimental validation of control & opt. algorithms

in collaboration with Q. Shafiee & J.M. Guerrero @ Aalborg University



Experimental validation of control & opt. algorithms

frequency/voltage regulation & active/reactive load sharing



conclusions

Conclusions

Summary

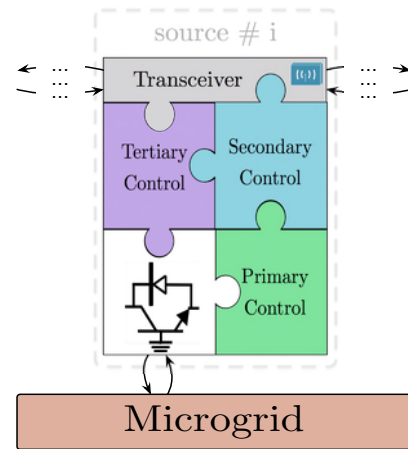
- primary P/θ droop control
- fair proportional load sharing & economic dispatch optimization
- distributed secondary control strategies based on averaging
- experimental validation

Further results

- reactive power control
- virtual oscillator control

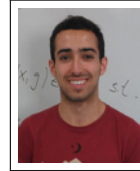
Open conjecture

- solve these problems without comm



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Acknowledgements



J. Simpson-Porco



Q. Shafiee



H. Bouattour



B. Gentile



A. Hamadeh



S. Dhople



B. Johnson



S. Zampieri



J. Guerrero



F. Bullo



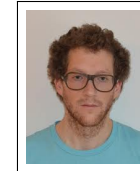
J. Zhao



S.Y. Caliskan



P. Tabuada



M. Rungger



M. Todescato